

DFIN CASE STUDY

How ActiveDisclosure℠ helped a leading internet brokerage firm streamline its SEC filing and compliance processes

DFIN ActiveDisclosure | TIGER BROKERS

COMPANY SNAPSHOT

Founded in 2014, Tiger Brokers Group (NASDAQ: TIGR) is a leading internet brokerage firm. In March 2019, Tiger Brokers was officially listed on NASDAQ.

The Group is committed to delivering a seamless, all-in-one stock trading experience for global investors through its proprietary trading platform, Tiger Trade.

The trading platform boasts a global user base of over 10 million, with approximately 2.2 million account holders[1] and an annual trading volume reaching USD 294.2 billion[2].

Supported by a team of over 1,100 employees, Tiger Brokers is headquartered in Singapore, with its R&D center in China, and operates internationally with offices across multiple countries and regions. The Group holds multiple financial licenses and qualifications across markets such as Singapore, the United States, New Zealand, Australia, and Hong Kong.



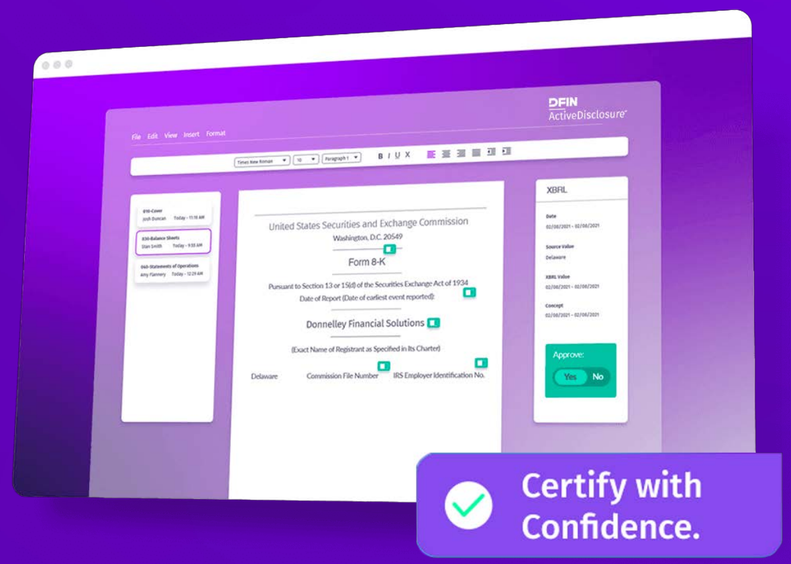
[1] Data derived from the Group's operational statistics as of December 2023.

[2] Data derived from the Group's operational statistics as of 2023.

SOLUTION

Driving Efficiencies in Tiger Brokers' SEC Filing and Compliance Processes

Since 2021, Tiger Brokers has leveraged ActiveDisclosure, DFIN's cloud-based financial reporting solution, to streamline the drafting and filing processes of SEC Forms 6-K and 20-F.



Our robust set of features optimized the Group's SEC compliance processes while delivering comprehensive data security:

Track Changes:

Enabled real-time tracking of edits made by multiple users and/or teams.

Intelligent iXBRL:

Streamlined the iXBRL tagging process by reducing manual effort.

Linking:

Improved efficiency and accuracy by linking directly to Excel, allowing changes made at the source to instantly update across all sections.

DFIN Expert Support:

Experts ready to help 24/7/365. Unlimited platform updates, maintenance, user training, regulatory insights, and in-app support from the DFIN expert team.

Form 144 Electronic Filing:

Streamlined the preparation and electronic submission of Form 144 with features such as customizable filing workflows, auto-population of saved data, and one-click preview. Other SEC filing form types are also readily available in the platform.

Fortified Security:

Comprehensive security network and infrastructure that ensured complete data protection, featuring role-based access enhanced by MFA, SSO and SAML, and adherence to SOC 2 Type II audits.

"ActiveDisclosure℠ is a user-friendly solution supported by a highly responsive 24/7 support team, which has significantly enhanced the efficiency of our SEC filing processes. The expert team at DFIN regularly provides updates on new platform features and offers ongoing guidance for our workflows. Key features such as Linking, Track Changes, Section Management, and XBRL tagging have significantly improved the speed and accuracy of our data updates, enhanced team collaboration, and enabled seamless real-time editing by multiple users. Overall, ActiveDisclosure has proven to be a cost-effective solution for our reporting team."

Financial Reporting Team
Tiger Brokers (NASDAQ: TIGR)

DFIN
ActiveDisclosure

Supporting 1,300+ companies worldwide in meeting their financial reporting and SEC filing needs

ActiveDisclosure is an intuitive cloud-based financial, SEC & ESG reporting software that takes the complexities out of regulatory reporting. With seamless integration, simple onboarding, and all the tools you need for fast, accurate reporting, your team can efficiently collaborate in real-time so you can focus on more strategic tasks.

Watch Demo

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